

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:  
SERIAL NO.  
FILING DATE:  
INVENTION:

K. Estes, et al.  
not yet assigned  
December 20, 2001  
NON-AQUEOUS WASHING APPARATUS AND METHOD

ATTORNEY DOCKET NO. 9793070-0439  
GROUP ART UNIT: not yet assigned  
EXAMINER: not yet assigned

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER



HON. ASSISTANT COMMISSIONER OF PATENTS  
Washington, D.C. 20231

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
- ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

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CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as U.S. Express Mail, Label No. EL834723415US to the Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231 on December 20, 2001.

*Jo Ellen Hogan*  
Jo Ellen Hogan

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
**INFORMATION DISCLOSURE STATEMENT**

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Hon. Assistant Commissioner for Patents  
Washington, DC 20231

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

**I. SUBMITTED UNITED STATES PATENT REFERENCES**

<u>Reference</u>	<u>Number</u>	<u>Patentee</u>	<u>Issue Date</u>
	4,235,600	Capella, et al.	November 25, 1980
	4,802,253	Hagiwara, et al.	February 7, 1989
	4,912,793	Hagiwara	April 3, 1990
	5,056,174	Hagiwara	October 15, 1991
	5,304,253	Grant	April 19, 1994
	5,407,446	Sando, et al.	April 18, 1995
	5,423,921	Saal, et al.	June 13, 1995
	5,447,171	Shibano	September 5, 1995
	5,460,018	Werner, et al.	October 24, 1995
	5,467,492	Chao, et al.	November 21, 1995
	5,498,266	Takagawa, et al.	March 12, 1996
	5,503,681	Inada, et al.	April 2, 1996
	5,759,209	Adler, et al.	June 2, 1998

**II. SUBMITTED FOREIGN PATENT REFERENCES**

<u>Reference</u>	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
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1002 U.S. PTO  
10/027160  
12/20/01

III OTHER DOCUMENTS

<u>Reference</u>	<u>Title/Author</u>	<u>Date of Publication</u>
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IV EXPLANATION OF RELEVANCE

The above references were cited in the parent application, Serial No. 09/520,653.

Submitted by,

 (Reg. 48,209)

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